

# ASC X9 TR 51–2020

## Levies Companion Document

Uniform Adoption of X9.129 for Levies  
Version 3.0



*This companion document utilizes ANSI X9.129 as the base standard.*

A Technical Report prepared by Accredited Standards Committee X9, Incorporated Financial Industry Standards, and registered with American National Standards Institute

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## Foreword

Publication of this Technical Report that has been registered with ANSI has been approved by the Accredited Standards Committee X9, Incorporated, 275 West Street, Suite 107, Annapolis, MD 21401. This document is registered as a Technical Report according to the “Procedures for the Registration of Technical Reports with ANSI.” This document is not an American National Standard and the material contained herein is not normative in nature. Comments on the content of this document should be sent to: Attn: Executive Director, Accredited Standards Committee X9, Inc., 275 West Street, Suite 107, Annapolis, MD 21401,

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## Introduction

This Technical Report was processed and approved for submittal to ANSI by the Accredited Standards Committee on Financial Services, X9. Committee approval of the Technical Report does not necessarily imply that all the committee members voted for its approval.

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**Document Revision History**

<b>Release Number</b>	<b>Description of Change(s)</b>
<b>V02</b>	<b>New document</b>
<b>V03</b>	<b>New version for compatibility with X9.129-2020</b>

## **1 Purpose of the Document**

The purpose of this document is to formalize an industry standard for exchange of legal orders using the ANSI X9.129 standard format and a compilation of industry norms. This document is not intended to replace the ANSI X9.129 standard, but rather to clarify how financial institutions and agencies should use the standard to ensure all necessary and appropriate levies and asset based orders are exchanged between financial institutions and/or agencies. The use of this document requires that all legal orders exchange participants obtain, use and adhere to the record and field usage definitions detailed in the ANSI X9.129 standard. Users of this document are required to review and be knowledgeable of the full standard to ensure file structure and data and field specifications are understood and used correctly. This document further defines the specific usage of the ANSI X9.129 standard and describes all of the records and fields which contain critical data. Based on this usage, it is reasonable to expect that exchange participants will implement file validation practices to ensure that all critical data is contained in legal order exchange files. If a file contains records or fields of critical data that do not conform to the usage practices detailed in the standard it is reasonable to expect the receiving institution or agency will reject the item or file back to the sending institution or agency as the order(s) contained in the file may be unusable/unprocessable. Conversely, if a record or field which does not contain critical data is populated with incomplete, erroneous, or invalid data it is reasonable to expect these files or items would be accepted and processed.

## **2 General Conventions of the Document**

The document contains details for each record that is deemed to have critical data and lists all associated fields contained in ANSI X9.129.

The first piece of information is the validation criteria column. This column defines whether or not the field will be validated for legal orders exchange. The validation criteria column has three settings, 'required', 'required if present' or blank.

- 'Required' is defined as: must be present and in compliance with the ANSI X9.129 standard's usage definition unless this Companion Document modifies that usage. If modified by this document, the LCD takes precedence. Erroneous or invalid data may result in an item level or file level reject.
- 'Required if present' is defined as: if the field is populated it must be in compliance with the ANSI X9.129 standard's usage definition unless this Companion Document modifies that usage. If modified by this document, the LCD takes precedence. Erroneous or invalid data may result in an item level or file level reject.
- When "Validation Criteria" is blank means no special validation is required.
- Mandatory fields which are A (Alpha), AN (Alphanumeric) and ANS (Alphanumeric/special) Types cannot be all blanks.

The second piece of information is a comments column. This column provides additional information regarding each field augmenting the ANSI X9.129 standard to facilitate legal orders exchange.

Records within this document are either Mandatory or Conditionally Required. Mandatory records must always appear within a file. Conditionally Required records will appear when the condition as stated in the introduction to that record is fulfilled.

### 3 File Format

The ANSI X9.129 file is comprised of variable length records.

- All characters and symbols must be represented using 8-bit ASCII
- Where appropriate, spaces shall be used to fill data and shall not be embedded within data elements.
- The format of all field types shall follow what is defined in the standard unless otherwise noted in this document. Failure to follow the data and field specifications could result in an item or file rejection. Refer to ANSI X9.129, Section 5 Data and Field Specifications.
- All fields that are conditional and are not used shall be filled with Blanks regardless of the data type.
- All Annexes in ANSI X9.129 are critically important to the use of this document for interoperable legal order exchange. The information in the annexes should be understood to ensure proper deployment of this standard.

#### 3.1 Exception Information

Field validation requirements are defined in the tables for each record. Fields with validation identified as 'Required' will always be validated. Fields with validation identified as 'Required if present' will be validated only if non-blank data exists in the field. Fields with validation identified as 'None' will not be validated and any data included in the field will be passed as-is.

Field level edit information is included in the tables for each record type. The following lists structural requirements for ANSI X9.129 legal orders exchange files:

**Structural Requirements for File Processing** – Failure to meet processing requirements will result in file rejection, when:

- All Header Records that DO NOT have a corresponding Control Record
  - File Header Record (Type 01)
  - Request Supplemental Info Header Record (Type 10)
  - Response Supplemental Info Record (Type 11)
- All records are NOT properly sequenced in accordance with ANSI X9.129
- Response counts DO NOT correspond to the number of Response records included
- Request count DO NOT correspond to the number of Request records included
- Duplicate file – file contains same File Name (field 5), File Creation Date (field 6) and File Creation Time (field 7) in the File Header Record (Type 01)

**Structural Requirements for a request or response** – Failure to meet processing requirements will result in request or response level rejects, when

- A Request Content Record (Type 20), DOES NOT have at least one Request Debtor Record (Type 25)
- If a Request Account Record (Type 26) is included it must follow the Request Debtor Record (Type 25)
- A Response Content Record (Type 30), DOES NOT have at least one Response Debtor Record (Type 35)
- If a Response Account Record (Type 36) is included it must follow the Response Debtor Record (Type 35)
- Request or Response level does not comply with standard (i.e. usage and type fields)

## 4 File Records

### 4.1 File Header Record (Type 01)

- This record is Mandatory. If a corresponding File Control Record (Type 99) is not present as the last record in this file, the file will be rejected
- One record per file

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	File Type Indicator	M	11-12	N	Required	
4	Legal Order Type	M	13-14	N	Required	Only use defined value 01
5	File Name	M	15-114	ANS	Required	Cannot be all blanks
6	File Creation Date	M	115-122	N	Required	
7	File Creation Time	M	123-126	N	Required	Time zone not specific by agreement
8	Standard Level	M	127-128	N	Required	
9	Supporting Documentation Zip Filename	C	129-228	100	ANS	Required field when a document is required to accommodate a given levy order – Above condition is a deviation from X9.129-2020 and should be used when coding for this field.

#### 4.2 Requester Supplemental Information Header Record (Type 10)

- This record is conditional record that shall be mandatory if File Header Record (Type 01), clause 7.3 File Type Indicator = '01'.
- One record per file

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Requester Name	M	11-85	ANS	Required	
4	Requester Federal Employment Identification Number (FEIN)	M	86-97	N	Required	
5	Requester Email Address	C	98-147	ANS		
6	Requester Identifier	C	148-153	AN		Note: Should not contain customer personal private information
7	Requester Payment Address Line One	M	154-178	ANS	Required	
8	Requester Payment Address Line Two	C	179-203	ANS		Field is mandatory as required
9	Requester Payment Address City	M	204-218	ANS	Required	
10	Requester Payment Address State	M	219-220	A	Required	
11	Requester Payment Address Zip	M	221-235	N	Required	
12	Financial Institution Name	M	236-260	ANS	Required	
13	Financial Institution Federal Employment Identification Number	M	261-272	N	Required	
14	Statutory Authority	M	273-312	ANS	Required	
15	Reserved Field	M	313-332	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

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### 4.3 Request Content Record (Type 20)

- This record is conditional record that shall be present if File Header Record (Type 01), clause 7.3 File Type Indicator = '01'.
- One record per case
  - Exception: When only sending a Maintenance Request Record (Type 40), a new Request Content Record (Type 20) is not required

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Request Action Type	M	11-12	N	Required	
4	Requester Contact Name	M	13-87	ANS	Required	
5	Requester Contact Number for Customer	M	88-107	ANS	Required	
6	Unique Case Identifier	M	108-132	ANS	Required	
7	Request Reference Number	C	133-172	ANS		
8	Court Case Number	C	173-202	ANS		
9	Legal Order Issue Date	C	203-210	N	Required	Required field for all FL/VA legal orders If not applicable, prefill with blanks
10	Number of Debtors	M	211-212	N	Required	
11	Levy/Order Amount	C	213-223	NS		Mandatory, when Request Action Type (field 3) value is 01 (Restrain). Field is populated with debt amount; maximum amount to be withheld.
12	Hold Amount Code	C	224-226	A		
13	Hold Type	M	227-229	A	Required	
14	Payment Due Date	C	230-237	N		If the state/federal regulations provide, this is mandatory.
15	Minimal Threshold Amount	C	238-248	NS		
16	Exemption Amount	C	249-259	NS		Note: See Clause 5 for Unique Requirements. If the state requires "exemption amount" this is mandatory.
17	Reserved Field	M	260-268	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

#### 4.4 Request Debtor Record (Type 25)

- Mandatory Record: One record per request file for each individual customer in the case
  - Exception: When only sending a Maintenance Request Record (Type 40), a new Request Debtor (Type 25) is not required.
  - Used in conjunction with the Request Content Record (Type 20)
  - Reference Request Content (Type 20), clause 9.10 Number of Debtors to determine the number of Request Debtor Record (Type 25) records should be provided in a given file.

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	TIN Type	M	11-12	N	Required	If the TIN Type is '01' a First ('5') & Last ('7') name are required elements If the TIN Type is '02' a Business Name ('9') is a required element.
4	TIN / FEIN	M	13-21	N	Required	If no TIN/FEIN available, populate field '000000000' (identifies nothing to search for)
5	First Name	C	22-56	ANS		Mandatory if using TIN type field 3 value is '01' If the TIN Type is '01' a First ('5') & Last ('7') name are required elements If the TIN Type is '02' a Business Name ('9') is a required element.
6	Middle Name	C	57-71	ANS		
7	Last Name	C	72-141	ANS		Mandatory if using TIN type field 3 value is '01' If the TIN Type is '01' a First ('5') & Last ('7') name are required elements If the TIN Type is '02' a Business Name ('9') is a required element.
8	Name Suffix	C	142-145	ANS		
9	Business Name	C	146-220	ANS		Mandatory if using TIN type field 3 value is '02' If the TIN Type is '01' a First ('5') & Last ('7') name are required elements If the TIN Type is '02' a Business Name ('9') is a required element.
10	Doing Business As/Also Known As	C	221-295	ANS		
11	Address Line 1	C	296-345	ANS		



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Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
12	Address Line 2	C	346-395	ANS		
13	Address City	C	396-420	AN		
14	Address State	C	421-422	A		
15	Address Zip	C	423-437	N		Minimum 5 digits
16	Entity ID Type	C	438-440	AN		Shall be present if ACH payment is used
17	Reserved Field	M	441-460	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

#### 4.5 Request Account Record (Type 26)

- This record is Conditionally Required if a record per each specified account is to be held or exempt in the case.
- Account records will be processed in order received within a file.

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Account Action	M	11-13	A	Required	
4	Target Account Number	M	14-33	AN	Required	
5	Other Asset Types	C	34-35	N		Mandatory for non-checking/savings account
6	Reserved Field	M	36-55	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

**4.6 Supporting Documentation Record (Type 27)**

- This record is conditionally required when a document is required to accommodate a given levy order to satisfy a legal state or federal mandate.
- A levy request can have multiple supporting documents.
- The zip file will contain all supporting documents for the request file.

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Document Name	M	11-130	ANS	Required	
4	Document Description	C	131-180	ANS	Required	

#### 4.7 Maintenance Request Record (Type 40)

- Conditional Record: One record per Maintenance Request Action Type (clause 13.3)
- Only used when a change to an original request is needed to provide follow-up action for the Financial Institution to take
- The intent of this record is to alert that a change to a previously provided Request Content Record (Type 20) and Request Debtor Record (Type 25) is necessary and as such the Record Type 40 should be a standalone record
- No new Request Content Record (Type 20) required
- No response is required from the Financial Institution

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Maintenance Request Action Type	M	11-12	N	Required	
4	Unique Case Identifier	M	13-37	ANS	Required	
5	Original Request Date	M	38-45	N	Required	
6	Modified Levy/Order Amount	C	46-56	NS	Required	Mandatory field when Request Action type field 12.3 is value of '02-Modify Amount' (i.e., partial release, adjustment of payment amount). The modified amount cannot be 0 (zero) or greater than the original amount requested
7	Modified Payment Due Date	C	57-64	N		If Request Action type is 01, field is populated with new payment/release date
8	Freeze Number of Days	C	65-68	NS		Note: See clause 5 Table of Unique requirements. If "freeze number of days" required, field will be mandatory.
9	Reserved Field	M	69-84	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

**4.8 Response Supplemental Information Header Record (Type 11)**

- This record is conditional record that shall be present if the File Header Record (Type 01), clause 7.3 File Type Indicator = '02'
- One record per file

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Requester Name	M	11-85	ANS	Required	
4	Requester Federal Employment Identification Number (FEIN)	M	86-97	N	Required	
5	Additional Requester Identifier	C	98-103	AN		If provided in request, the Financial Institution must include in response file for requester to tie back to case
6	Financial Institution Name	M	104-128	ANS	Required	
7	Financial Institution Federal Employment Identification Number (FEIN)	M	129-140	N	Required	
8	Original Request File Name	M	141-240	ANS	Required	
9	Reserved Field	M	241-260	B		Shall not be used without approval from X9 as field is intended for future expansion of the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

#### 4.9 Response Content Record (Type 30)

- This record is conditional record that shall be present if the File Header Record (Type 01), clause 7.3 File Type Indicator = '02'
- If a corresponding Response Supplemental Header Information Record (type11) and a Response Debtor Record (Type 35) is not present the file will be rejected.
- Mandatory Record: One record per case
  - Exception: When only sending an Amended Response Content Record (Type 51), a new Response Content Record (Type 30) is not required.

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Request Action Type	M	11-12	N	Required	
4	Unique Case Identifier	M	13-37	ANS	Required	
5	Request Reference Number	C	38-77	ANS	Required	Mandatory, should be required if present in Record Type 20, Request Reference Number (Field 9.7)
6	Court Case Number	C	78-107	ANS	Required	Mandatory, should be required if present in Record Type 20, Court Case Number (Field 9.8)
7	Legal Order Issue Date	C	108-115	N	Required	Mandatory should be required if present in Record Type 20, Legal Order Date (Field 9.9)
8	Request File Received Date	M	116-123	N	Required	There will be date difference between date received and sent based on sender/receivers time zones
9	Request File Received Time	M	124-127	N	Required	There will be time difference between time received and sent based on sender/receivers time zones
10	Request File Creation Date	M	128-135	N	Required	There will be date difference between date received and sent based on sender/receivers time zones
11	Request File Creation Time	M	136-139	N	Required	There will be time difference between time received and sent based on sender/receivers time zones
12	Remit Date	C	140-147	N		
13	Total Amount Withheld	C	148-158	NS		

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14	Response Code	M	159-160	N	Required	
15	Reserved Field	M	161-180	B		Shall not be used without approval from X9 as field is intended for future expansion the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

#### 4.10 Follow Up Response Content Record (Type 31)

- This record is a Conditional record and is similar in information to the Response Content Record (Type 30)
- Should be used to provide full response to a point in time or continuous case with action pending
- If used this record must adhere to the length and location requirements defined in the ANSI X9.129 standard



**4.11 Response Debtor Record (Type 35)**

- Mandatory Record: One record for each individual customer in the case
  - Exception: When only sending an Amended Response Content Record (Type 51), a new Response Debtor Record (Type 35) is not required.
- Used in conjunction with the Response Content Record (Type 30)

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	TIN/FEIN	C	11-19	N		
4	First Name	C	20-54	ANS	Required	Mandatory if Tax Identification Number TIN Type 01 (Personal) (Field 10.3) present
4	Middle Name	C	55-69	ANS	Required	Mandatory if provided on Middle Name (Field 10.6)
6	Last Name	C	70-139	ANS	Required	Mandatory if TIN type 01 (Personal) (Field 10.3) field provided
7	Name Suffix	C	140-143	ANS	Required	Mandatory if Name Suffix (Field 10.8) field provided
8	Business Name	C	144-218	ANS	Required	Mandatory if TIN Type 02 (Business) (Field 10.3) present
9	Reserved Field	M	219-238	B		Shall not be used without approval from X9 as field is intended for future expansion the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

#### 4.12 Response Account Record (Type 36)

- This record is Conditionally Required if a specified account in the case is to be held or exempt (Type 26, field 3 Account Action )

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Target Account Withheld	M	11-30	N	Required	
4	Account Ownership Type Account	C	31-31	AN		Required for specific levy types per legal regulations
5	Other Asset Types Account	C	32-33	AN		
6	Response Code	M	34-35	N	Required	
7	Reserved Field	M	36-55	B		Shall not be used without approval from X9 as field is intended for future expansion the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

4.13 Amended Response Content Record (Type 51)

- This record is conditionally required when a financial institution needs to make a modification to a previous response of a specific case prior to the legal order payment sent.
- One record per case

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Unique Case Identifier	M	11-35	ANS	Required	
4	Original Request Date	M	36-43	N	Required	
5	Modification Code	M	44-45	A	Required	
6	Modified Amount	M	46-56	NS	Required	
7	Comments	C	57-281	ANS		
8	Reserved Field	M	282-301	B		Shall not be used without approval from X9 as field is intended for future expansion the record and shall be left blank. Table 5 of Unique Requirements identifies unique usage for CA-FTB and OCSE Agencies.

**4.14 User Defined Record (Type 52-98)**

- Record types 52-98 are reserved for future use and should not be used.

**4.15 File Control Record (Type 99)**

- This record is Mandatory
- One record per file
- It is the last record of the Legal Order Processing file

Field	Field Name	Usage	Position	Type	Validation Criteria	Comments
1	Record Length	M	01-08	N	Required	
2	Record Type	M	09-10	N	Required	
3	Total Detail Record Count	M	11-16	N	Required	Count <b>should not</b> include the File Header Record (Type 01) or File Control Record (Type 99)
4	Total Case Count	M	17-20	N	Required	Count should include: For Requests the sum of all the Request Content Records (Type 20) and Maintenance Request Records (Type 40) For Responses the sum of all the Response Content Records (Type 30), Follow Up Response Content Records (Type 31) and Amended Response Content Records (Type 51).
5	Total Amount	C	21-34	NS		<b>If amounts are present in the records, sum of all amounts should be included as follows:</b> For Request should be the sum of the amounts in the Type 20's and Type 40's For Response should be the sum of the amounts in the Type's 30's, Type 31's and Type 51's

## 5 Table of Unique Requirements

This table defines any unique requirements of specific Levy Exchange exchanges over and above what has been defined in the preceding sections of this document.

- CA-FTB and OCSE will be the only agencies using the X9.129-2017 version which contains “User Fields”. No validation should be done on these “User Fields” by the Financial Institution (FI); the FI passes the “User Field” value as received.
- In the following table, the columns labeled CA-FTB and OCSE, contain the code ‘V’ that means the field is Validated by the respective exchange member.
- These Unique Requirements are valid as of the date of this document as it appears on the cover page.

File Header Record (Type 01)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length			Required	V	V		
2	Record Type	M	N	Required	V	V		
3	File Type Indicator		N	Required	V	V		
4	Legal Order Type	M	N	Required	V	V		
5	File Name	M	N	Required	V	V		
6	File Creation Date	M	ANS	Required	V	V		
7	File Creation Time	M	N	Required	V	V		
8	Standard Level	M	N	Required	V	V		

Requester Supplemental Information Header Record (Type 10)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Requester Name	M	ANS	Required	V	V		
4	Requester Federal Employment Identification Number (FEIN)	M	N	Required	V	V		
5	Requester Email Address	C	ANS					
6	Requester Identifier	C	AN					
7	Requester Payment Address Line One	M	ANS	Required	V	V		
8	Requester Payment Address Line Two	C	ANS					
9	Requester Payment Address City	M	ANS	Required	V	V		
10	Requester Payment Address State	M	A	Required	V	V		
11	Requester Payment Address Zip	M	N	Required	V	V		
12	Financial Institution Name	M	ANS	Required	V	V		
13	Financial Institution Federal Employment Identification Number	M	N	Required	V	V		
14	Statutory Authority	M	ANS	Required	V	V		
15	User Field	C	ANS				Uses first 19 positions	

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Request Content Record (Type 20)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Request Action Type	M	N	Required	V	V		
4	Requester Contact Name	M	ANS	Required	V	V		
5	Requester Contact Number for Customer	M	ANS	Required	V	V		
6	Unique Case Identifier	M	ANS	Required	V	V		
7	Request Reference Number	C	ANS					
8	Court Case Number	C	ANS					
9	Legal Order Issue Date	C	N	Required	V	V	Required field for all FL/VA legal orders	Required field for all FL/VA legal orders
10	Number of Debtors	M	N	Required	V	V		
11	Levy/Order Amount	C	NS					
12	Hold Amount Code	C	A					
13	Hold Type	M	A	Required	V	V		
14	Payment Due Date	C	N					
15	Minimal Threshold Amount	C	NS					
16	User Field	C	ANS					Uses first 11 positions for Exemption Amount

Request Debtor Record (Type 25)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	TIN Type	M	N	Required	V	V		
4	TIN / FEIN	M	N	Required	V	V		
5	First Name	C	ANS					
6	Middle Name	C	ANS					
7	Last Name	C	ANS					
8	Name Suffix	C	ANS					
9	Business Name	C	ANS					
10	Doing Business As / Also Known As	C	ANS					
11	Address Line 1	M	ANS	Required	V	V		
12	Address Line 2	C	ANS					
13	Address City	M	AN	Required	V	V		
14	Address State	M	A	Required	V	V		
15	Address Zip	M	N	Required	V	V		
16	Entity ID Type	C	AN					
17	User Field	C	ANS					Uses first 12 positions



Request Account Record (Type 26)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Account Action	M	A	Required	V	V		
4	Target Account Number	M	AN	Required	V	V		
5	Other Asset Types	C	N					
6	User Field	C	ANS					Uses 20 positions

Maintenance Request Record (Type 40)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Maintenance Request Action Type	M	N	Required	V	V		
4	Unique Case Identifier	M	ANS	Required	V	V		
5	Original Request Date	M	N	Required	V	V		
6	Modified Levy/Order Amount	C	NS					
7	Modified Payment Due Date	C	N					
8	User Field	C	ANS					Uses first 16 positions for freeze number of days

Response Supplemental Information Header Record (Type 11)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Requester Name	M	ANS	Required	V	V		
4	Requester Federal Employment Identification Number (FEIN)	M	AN	Required	V	V		
5	Additional Requester Identifier	C	AN					
6	Financial Institution Name	M	ANS	Required	V	V		
7	Financial Institution Federal Employment Identification Number (FEIN)	M	N	Required	V	V		
8	Original Request File Name	M	ANS	Required	V	V		
9	User Field	C	ANS					Uses first 19 positions

Response Content Record (Type 30)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Request Action Type	M	N	Required	V	V		
4	Unique Case Identifier	M	ANS	Required	V	V		
5	Request Reference Number	C	ANS	Required	V	V		
6	Court Case Number	C	ANS	Required	V	V		
7	Legal Order Issue Date	C	N	Required	V	V		
8	Request File Received Date	M	N	Required	V	V		
9	Request File Received Time	M	N	Required	V	V		
10	Request File Creation Date	M	N	Required	V	V		
11	Request File Creation Time	M	N	Required	V	V		
12	Remit Date	C	N					
13	Total Amount Withheld	C	NS					
14	Response Code	M	N	Required	V	V		
15	User Field	C	ANS					Uses first 6 positions

Response Debtor Record (Type 35)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	TIN/FEIN	C	N					
4	First Name	C	ANS	Required	V	V		
5	Middle Name	C	ANS	Required	V	V		
6	Last Name	C	ANS	Required	V	V		
7	Name Suffix	C	ANS	Required	V	V		
8	Business Name	C	ANS	Required	V	V		
9	User Field	C	ANS					Uses first 12 positions

Response Account Record (Type 36)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Target Account Withheld	M	N	Required	V	V		
4	Account Ownership Type Account	C	AN					
5	Other Asset Types Account	C	AN					
6	Response Code	M	N	Required	V	V		
7	User Field	C	ANS					Uses for all 20 positions

Amended Response Content Record (Type 51)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Unique Case Identifier	M	ANS	Required	V	V		
4	Original Request Date	M	N	Required	V	V		
5	Modification Code	M	A	Required	V	V		
6	Modified Amount	M	ANS	Required	V	V		
7	Comments	C	ANS					
8	User Field	C	ANS					Uses first 12 positions

File Control Record (Type 99)					CAFTB	OCSE	Special instructions CA-FTB	Special instructions OCSE
Field	Field Name	Usage	Type	Validation Criteria				
1	Record Length	M	N	Required	V	V		
2	Record Type	M	N	Required	V	V		
3	Total Detail Record Count	M	ANS	Required	V	V		
4	Total Case Count	M	N	Required	V	V		
5	Total Amount	C	A					